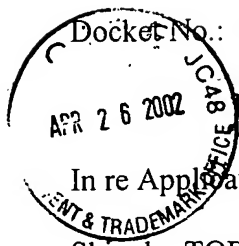


RECEIPT  
3

PATENT

Docket No.: 62807-038



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Shinobu TORIKOSHI, et al.

Serial No.: 10/076,143

Group Art Unit: 2631

Filed: February 15, 2002

Examiner:

For: DIGITAL SIGNAL PROCESSING DEVICE, DV DECODER, RECORDING DEVICE  
USING DV DECODER, AND SIGNAL PROCESSING METHOD

**RESPONSE TO NOTICE  
OF MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated March 14, 2002,  
submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Assignment
3. Certified Priority Documents
4. Request for Corrected Official Filing Receipt
5. Statutory Basic filing fee of \$740.00
6. Late Filing Fee Surcharge of \$130.00
7. Additional Claim Fee of \$522.00

Please charge Deposit Account No. 500417 in the amount of \$1,392. To the extent  
necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any



20277

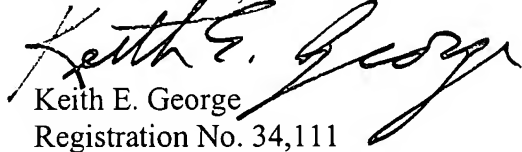
PATENT TRADEMARK OFFICE

10/067,535

shortage in fees due in connection with the filing of this paper, including extension of time fees,  
to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
Keith E. George  
Registration No. 34,111

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202)756-8000 KEG:kjw  
Facsimile: (202)756-8087  
**Date: April 26, 2002**

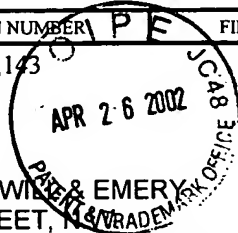


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,143	02/15/2002	Shinobu Torikoshi	62807-038

20277  
MCDERMOTT WILK & EMERY  
600 13TH STREET, N.W.  
WASHINGTON, DC 20005-3096



CONFIRMATION NO. 8856

## FORMALITIES LETTER



\*OC000000007633428\*

Date Mailed: 03/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$522.
  - \$18 for 1 total claims over 20.
  - \$504 for 6 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1392.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Shinobu TORIKOSHI, et al.

Serial No.: 10/076,143

Group Art Unit: 2631

Filed: February 15, 2002

Examiner:

For: DIGITAL SIGNAL PROCESSING DEVICE, DV DECODER, RECORDING DEVICE  
USING DV DECODER, AND SIGNAL PROCESSING METHOD

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the name of the fourth Inventor and the priority information is incorrect on the official filing receipt. Attached is a copy of the Declaration and Power of Attorney, which evidences that the name of the fourth Inventor should read: **Katsumi NAGAZATO** and the priority information should read: **Japanese Patent Application Number 2001-238691, Filed August 7, 2001 and Japanese Patent Application Number 2001-330114, Filed October 29, 2001.** It is requested that a corrected filing receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL &amp; EMERY

Keith E. George  
Registration No. 34,111

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202)756-8000 KEG:kjw  
Facsimile: (202)756-8087  
Date: April 26, 2002



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/076,143	02/15/2002	2631	0.00	62807-038	11	21	9

CONFIRMATION NO. 8856

20277  
MCDERMOTT WILL & EMERY  
600 13TH STREET, N.W.  
WASHINGTON, DC 20005-3096

RECEIVED

MAR 20 2002

## FILING RECEIPT



\*OC000000007633427\*

Date Mailed: 03/14/2002

McDermott, Will &amp; Emery

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Shinobu Torikoshi, Residence Not Provided;  
Koichi Ono, Residence Not Provided;  
Yoshiaki Kuroda, Residence Not Provided;  
Katsumi Nagasato, Residence Not Provided; *Nagasato*  
Atsushi Hosono, Residence Not Provided;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2001-238691 08/07/2001

*JAPAN 2001-330114, filed October 29, 2001*

If Required, Foreign Filing License Granted 03/13/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Digital signal processing device, DV decoder, recording device using DV decoder, and signal processing method

## Preliminary Class

375



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).